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Patent Application  
Docket No. ADVEC9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Unknown  
Art Unit : 1651  
Applicant(s) : Graham, et al.  
Serial No. : 09/286,874  
File Date : April 6, 1999  
For : Use of Helper-Dependent Adenoviral Vectors of  
Alternative Serotypes Permits Repeat Vector  
Administration.

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

MISSING PARTS; CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

Enclosed herewith are the following documents in reference to the captioned  
patent application, Docket No. ADVEC9:

1. Fee transmittal form, filing fee and late surcharge (\$760.00).
2. Additional Claims Fees for 2 Independent Claim over 3 claims  
included in the basic filing fee. (\$156.00).
3. Executed Declaration by Frank L. Graham. (first inventor).
4. Executed Declaration by Robin Parks (second inventor).

I hereby certify that this correspondence is being deposited with the United States Postal  
Service as first class mail in an envelope addressed to the Assistant Commissioner for  
Patents and Trademarks, Washington, D.C. 20231 on May 21, 1999.

Respectfully submitted,

Gerard H. Bencen  
Patent Attorney  
Registration No. 35,746  
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Orlando, Florida 32801



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/286,874	04/06/99	GRAHAM	F ADVEC9

GERARD H BENCEN  
426 ANDERSON COURT  
ORLANDO FL 32801



0232/0504

NOT ASSIGNED

1651

DATE MAILED:

05/04/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1096.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ 156 for 2 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

John W. White  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

05/26/1999 TLW11 00000037 09286874  
760.00 OP  
156.00 OP  
130.00 OP  
01 02 03  
01 02 03  
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